

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 09/688,321  
Applicant : Richard J. HELFERICH  
Filed : October 13, 2000  
TC/A.U. : 2685  
Examiner : Thuan T. Nguyen

Docket No. : 0101-138  
Customer No. : 06449  
Confirmation No. : 9368

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Director of the United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450


Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant submits herewith information that the Office may wish to consider in examination of the subject application. Materials submitted for consideration are listed on the attached form PTO-1449.

I hereby certify that no item of information in the information disclosure statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. Accordingly, applicant petitions the Commissioner to consider this information disclosure statement.

Pursuant to the provisions of 37 C.F.R. § 1.17, please charge the fee of \$180.00 to our Deposit Account No. 02-2135. An additional copy of this document is enclosed. The Commissioner is authorized to charge any additional fees or credit any overpayment to our Deposit Account.

Respectfully submitted,

By   
\_\_\_\_\_  
Brian S. Rosenbloom  
Attorney for Applicant  
Registration No. 41,276  
ROTHWELL, FIGG, ERNST & MANBECK, p.c.  
Suite 800, 1425 K Street, N.W.  
Washington, D.C. 20005  
Telephone: (202)783-6040



# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

				<i>Complete if Known</i>	
				Application Number	09/688,321
				Filing Date	October 13, 2000
				First Named Inventor	Richard J. HELFERICH
				Group Art Unit	2685
				Examiner Name	Thuan T. Nguyen
				Confirmation No.	9368
Sheet	1	of	1	Attorney Docket Number	0101-138

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
		6,032,039		Kaplan	02-2000
		6,351,523		Dettlef	02-2002
		6,411,827		Minata	06-2002
		6,771,949		Corliss	08-2004
		5,070,536		Mahany et al.	12-1991
		5,802,466		Gallant et al.	09-1998

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.